SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	ATEMENT OF CHANGES IN BENEFICIAL OWN Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	Estimated average burden hours per response: 0.5								
Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.										
1. Name and Address of Reporting Person [*] Graziosi David S. (Last) (First) (Middle) C/O ALLISON TRANSMISSION HOLDI	2. Issuer Name and Ticker or Trading Symbol <u>Allison Transmission Holdings Inc</u> [ALSN] 3. Date of Earliest Transaction (Month/Day/Year) 11/27/2024	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify below) Chair, President and CEO								
INC. ONE ALLISON WAY										
(Ctract)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)								
(Street) INDIANAPOLIS IN 46222		Form filed by One Reporting Person Form filed by More than One Reporting Person								
(City) (State) (Zip)										

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.				I (A) or Disposed 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	11/27/2024		М		1,723	A	\$47.35	170,556	D	
Common Stock	11/27/2024		S		1,723	D	\$ 120.2554 ⁽¹⁾	168,833	D	
Common Stock	11/29/2024		М		11,590	A	\$47.35	180,423	D	
Common Stock	11/29/2024		S		11,590	D	\$ 119.4426 ⁽²⁾	168,833	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Disp	r osed of nstr. 3,	6. Date Exerc Expiration Da (Month/Day/\	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$ 47.35	11/27/2024		М			1,723	(3)	02/22/2033	Common Stock	1,723	\$0	110,099	D	
Dividend Equivalent Rights	(4)	11/27/2024		Α		156		(4)	(4)	Common Stock	156	\$0	1,935	D	
Employee Stock Option (right to buy)	\$47.35	11/29/2024		М			11,590	(3)	02/22/2033	Common Stock	11,590	\$0	98,509	D	

Explanation of Responses:

1. The price reported is a weighted average price. The shares were sold in multiple transactions at per share prices ranging from \$120.0017 to \$120.4900. The reporting person undertakes to provide Allison Transmission Holdings, Inc. ("ASLN") or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

2. The price reported is a weighted average price. The shares were sold in multiple transactions at per share prices ranging from \$119.0800 to \$119.7463. The reporting person undertakes to provide ALSN or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote. 3. This option vests in three equal annual installations beginning on February 22, 2024.

4. The dividend equivalent rights accrued on previously awarded restricted stock units ("RSUs") and vest proportionately with the RSUs to which they relate. Each dividend equivalent right is the economic equivalent of one share of ALSN common stock.

/s/ Preston B. Ray, attorney-in-12/02/2024

fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.