FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | Filed pursuant | CHANGES IN BENEFICIAL OWNE to Section 16(a) of the Securities Exchange Act of 1934 on 30(h) of the Investment Company Act of 1940 | ERSHIP | OMB Number: Estimated average burd hours per response: | 3235-0287 Jen 0.5 | |
|--|---|----------------|---|---|--|-------------------------|--|
| V | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | |
| 1. Name and Address of Reporting Person* | | | Name and Ticker or Trading Symbol | 5. Relationship of Re (Check all applicable) | eporting Person(s) to Is | ssuer | |

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| Eifert Thomas | <u>5</u> | | Alison fransmission fioldings file [ALSN] | (| Director | 10% Owner | | |
|-----------------|--------------|-----------|--|---------|--|--------------------------|--|--|
| (l. cot) | (First) | (Middle) | | 1 | Officer (give title below) | Other (specify below) | | |
| (Last) | () | () | 3. Date of Earliest Transaction (Month/Day/Year) 09/26/2024 | | VP, Quality, Plan & P | rog Mgmt | | |
| C/O ALLISON I | FRANSMISSION | HOLDINGS, | 07202024 | | | | | |
| ONE ALLISON WAY | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6 Indiv | vidual or Joint/Group Filing (Check Applicable | | | |
| | | | - in Amendment, Date of Original Filed (Month/Day/Tear) | Line) | | | | |
| (Street) | | | | 1 | Form filed by One Report | ting Person | | |
| INDIANAPOLIS | S IN | 46222 | | | Form filed by More than (Person | One Reporting | | |
| (City) | (State) | (Zip) | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
|---------------------------------|--|---|------|---|----------|---|---|---|---|----------|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Common Stock | 09/26/2024 | | М | | 2,000 | A | \$37.11 | 11,601 | D | |
| Common Stock | 09/26/2024 | | S | | 2,000(1) | D | \$95 | 9,601 | D | |
| Common Stock | 10/07/2024 | | М | | 1,741 | A | \$37.11 | 11,342 | D | |
| Common Stock | 10/07/2024 | | S | | 1,741(1) | D | \$100 | 9,601 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deri Sec Acq (A) (Disp of (I | oosed 0) tr. 3, 4 | 6. Date Exerc Expiration Da (Month/Day/Y | ate | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|------------------------------|---|--|-------------------------|--|--------------------|--|--|---|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Employee Stock Option (right to buy) | \$37.11 | 09/26/2024 | | М | | | 2,000 | (2) | 02/21/2027 | Common Stock | 2,000 | \$ 0 | 1,741 | D | |
| Employee Stock Option (right to buy) | \$37.11 | 10/07/2024 | | М | | | 1,741 | (2) | 02/21/2027 | Common Stock | 1,741 | \$0 | 0 | D | |

Explanation of Responses:

1. This sale was pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 13, 2024.

2. The option vested on February 22, 2020.

/s/ Preston B. Ray, attorney-in-10/08/2024

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** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.